

Innovate UK Health UK Health Innovation Network

# **Module 6**: Clinical **Evaluation of VR/XR Devices**



## **Objectives for Module 6**

- 1. Outline the 5 key steps of clinical evaluation (10 minutes)
  - a. Intended Use
  - b. Scientific Validity
  - c. Analytical Validity
  - d. Clinical Validity
  - e. Post-Market Clinical Evaluation
- 2. Multiple choice questions (5 minutes)





### **Clinical Evaluation Process - Overview**



Define Unmet Need Value Proposition

Define Intended Use (target market, condition, users)

Literature review Proof-of-concept studies

Bench testing Usability testing

Internal validation

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**Deliver Value** 

External validation **Clinical Investigations**  Post Market Surveillance Post Market Clinical Follow-up

Demonstrate Economic Value

### **Step 1: Conceptualisation**



#### Conceptualisation

#### **Scientific Validity**

#### **Analytical Validity**

Define Intended Use (target market, condition, users)

Literature review Proof-of-concept studies

Bench testing Usability testing Internal validation

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#### **Clinical Validity**

**Post Market** 

External validation **Clinical Investigations** 



### Step 1: Define your Intended Use

### **Document the following**

Intended medical indication
Intended patient population
Intended user groups (primary and secondary)
Intended part of the body
Intended use environment (physical and digital)
Operating principle (clinical and technical)

### Articulate the *clinical benefit* you are intending to provide

Meaningful, measurable, patient relevant clinical outcomes related to diagnosis, or a positive impact on patient management





### **Step 2: Scientific Validity**



#### Conceptualisation

Define Intended Use

(target market,

condition, users)

#### **Scientific Validity**

Literature review

Proof-of-concept

studies

**Analytical Validity** 

Bench testing Usability testing Internal validation

External validation Clinical Investigations

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#### **Clinical Validity**

#### **Post Market**

## **Step 2: Scientific Validity**

Is there a valid association between the outputs of your tech, and the clinical/biological target?

What evidence is already available to support this (literature review)

What evidence have you developed (proof-of-concept studies)





### **Step 3: Analytical Validity**



#### Conceptualisation

#### **Scientific Validity**

**Analytical Validity** 

Define Intended Use (target market, condition, users)

Literature review Proof-of-concept studies

Bench testing Usability testing Internal validation

External validation Clinical Investigations

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#### **Clinical Validity**

#### **Post Market**

## Step 3: Analytical Validity

#### Does your candidate product perform as expected in a controlled environment?

- Bench testing (hardware)
- Unit testing (software)
- Integration testing (software +/- hardware)
- Formative usability testing (IEC 62366-1)

#### Don't forget if you have hardware:

Functional / electrical safety testing Electromagnetic compatibility (EMC) testing Radiofrequency testing (e.g. for bluetooth) Battery safety testing (e.g. for wearables)



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### **Step 4: Clinical Validity**



Со	nce	ptua	lisation

#### Scientific Validity

**Analytical Validity** 

Define Intended Use (target market, condition, users)

Literature review Proof-of-concept studies

Bench testing Usability testing Internal validation

External validation **Clinical Investigations** 

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#### **Clinical Validity**

#### **Post Market**

## **Step 4: Clinical Validity**

#### Conduct a pivotal clinical investigation

An investigation in line with current gold standards to demonstrate your claims Using independent, representative validation data Only one may be needed for regulatory approval, if designed well

### You must prove all clinical claims you make for your software, based on its intended use

#### **Conduct summative usability testing**





### Investigation design

#### Design depends on the claims being made in the Intended Use

Are you claiming to be better than the current standard of care? An adjunct? Non-inferior?

#### **Therapeutic interventions/recommendations**

Comparator arm, likely prospective (+/- blinding and randomization)

#### Improving diagnostic/detection accuracy

Compare to ground truth, may be retrospective

#### **Special Cases**

AI for augmenting user performance: multi-reader, multi-case (MRMC) design Crossover design studies Case control studies

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### **Step 5: Post Market**



#### Conceptualisation

#### **Scientific Validity**

**Analytical Validity** 

Bench testing

Usability testing

Internal validation

#### **Clinical Validity**

External validation Clinical Investigations

Define Intended Use (target market, condition, users) Literature review Proof-of-concept studies

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**Post Market** 



### Post Market Surveillance and Post Market Clinical Follow-Up

#### **Post Market Surveillance (PMS)**

User feedback

Surveillance for safety and adverse effects

Complaints

#### Post Market Clinical Follow-up (PMCF)

Check that performance is maintained in the real world

Monitor for performance drift

Assessing for variability between deployment sites/populations



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### Summary

condition, users)

studies



Internal validation



Clinical Investigations



Contact: ankeet@hardianhealth.com hin.mindset@nhs.net

www.hardianhealth.com

@HardianHealth



**Hardian** Health Clinical | Digital | Consulting